



**PATENT**  
Attny Dkt No: 10392-46001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: WALLMAN, Steven M.H.

Appl'n No.: 09/038,158

Group Art Unit: 3624

Filing Date: 11 March 1998

Examiner: BASHORE, Alain L.

For: METHOD AND APPARATUS FOR  
ENABLING SMALLER INVESTORS OR  
OTHERS TO CREATE AND MANAGE  
A PORTFOLIO OF SECURITIES OR  
OTHER ASSETS OR LIABILITIES ON A  
COST EFFECTIVE BASIS

**Mail Stop Issue Fee**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE**

Sir:

In response to the Examiner's statement of reasons for allowance, Applicant respectfully refers to the arguments it has submitted for completeness.

Respectfully submitted,

  
Bradley J. Meier  
(Reg. No. 44,236)

Dated: July 12, 2005

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ART UNIT : 3624 Batch No. 7974  
EXAMINER : Alain L. Bashore

**Mail Stop ISSUE FEE - DRAWINGS**

COMMISSIONER FOR PATENTS

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Randolph Bldg.

401 Dulany Street

Alexandria, VA 22314

Attn.: DRAWING PROCESSING BRANCH

**SUBMISSION OF FORMAL DRAWINGS**

SIR:

In response to the requirements contained in the notice of allowability mailed 12 April 2005, submitted herewith are thirteen (13) sheets of formal drawings. Please substitute these sheets for the drawings submitted at the time of filing this application.

Respectfully submitted,

  
Bradley J. Meier  
(Reg. No. 44,236)

Dated: 12 July 2005

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